

2812

JAN 02 2003

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |     |                        |                 |
|--|-----|------------------------|-----------------|
| Total Number of Pages in This Submission | 29+ | Application Number     | 09/916,701      |
|  |     | Filing Date            | July 26, 2001   |
|  |     | First Named Inventor   | Boon-Siew Ooi   |
|  |     | Group Art Unit         | 2812            |
|  |     | Examiner Name          | Savitri Mulpuri |
|  |     | Attorney Docket Number | 2103248-990140  |

**ENCLOSURES (check all that apply)**

|  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br>Fee Attached: Check in the amount of<br><input checked="" type="checkbox"/> \$288.00 includes \$108.00 for<br>additional claims & \$180.00 filing fee<br>for IDS | <input type="checkbox"/> Assignment Papers<br>(for an Application)                                     | <input type="checkbox"/> After Allowance Communication<br>to Group                            |
| <input checked="" type="checkbox"/> Amendment/Reply with Appendix A<br><br><input type="checkbox"/> After Final  | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences        |
| <input type="checkbox"/> Affidavits/declaration(s)   | <input type="checkbox"/> Licensing-related Papers  | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Petition to Convert to a Provisional<br>Application                           | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Express Abandonment Request   | <input checked="" type="checkbox"/> Revocation of Prior Power of Attorney<br>and New Power of Attorney | <input type="checkbox"/> Status Letter  |
| <input checked="" type="checkbox"/> Information Disclosure Statement with 9<br>references  | <input type="checkbox"/> Terminal Disclaimer   | <input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):            |
| <input type="checkbox"/> Certified Copy of Priority Document(s)  | <input type="checkbox"/> Request for Refund  | 1) Change of Correspondence<br>Address<br>2) Return postcard                                  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete<br>Application  | <input type="checkbox"/> CD, Number of CD(s) _____   |   |
| <input type="checkbox"/> Response to Missing Parts under<br>37 CFR 1.52 or 1.53  |  |   |

RECEIVED  
JAN - 6 2003  
TECHNOLOGY CENTER 2800

Customer Number or Bar Code Label



(Insert Customer No. or Attach bar code label here)

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
 Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GRAY CARY WARE &amp; FREIDENRICH LLP

Dated:

December 27, 2002

By: Alan A. Limbach  
 Alan A. Limbach  
 Reg. No. 39,749  
 Attorneys for Applicant(s)

Atty. Dkt. No. 2103248-990140

Gray Cary Ware & Freidenrich LLP  
 1755 Embarcadero Road, Palo Alto, CA 94303-3340  
 Telephone: 650-833-2433

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on this date: December 27, 2002

|                       |                        |      |                   |
|-----------------------|------------------------|------|-------------------|
| Typed or printed name | KATHLEEN LABRIE        |      |                   |
| Signature             | <u>Kathleen Labrie</u> | Date | December 27, 2002 |



# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 288.00)

## Complete if Known

|                      |                |
|----------------------|----------------|
| Application Number   | 09/916,701     |
| Filing Date          | July 26, 2001  |
| First Named Inventor | Ooi et al.     |
| Examiner Name        | Mulpuri        |
| Group Art Unit       | 2812           |
| Attorney Docket No.  | 2103248-990140 |

RECEIVED  
JAN 16 2003  
CHAMBERS  
ENTER 2800

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None

|                        |                                  |
|------------------------|----------------------------------|
| Deposit Account Number | 07-1896                          |
| Deposit Account Name   | Gray Cary Ware & Freidenrich LLP |

The Commissioner is authorized to: (check all that apply)

- Charge (fees) indicated below.  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Paid               |
|--------------|----------|--------------|----------|------------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |
| 1001         | 740      | 2001         | 370      | Utility filing fee     |
| 1002         | 330      | 2002         | 165      | Design filing fee      |
| 1003         | 510      | 2003         | 255      | Plant filing fee       |
| 1004         | 740      | 2004         | 370      | Reissue filing fee     |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |

**SUBTOTAL (1) (\$)** 0.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | 58 | -46** = | 12 | X | 9.00 | = | 108.00 | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|---------|----|---|------|---|--------|--------------|----------------|----------|
|                    |    |         |    |   |      |   |        |              |                |          |
| Independent Claims | 3  | -6** =  | 0  | X | 0.00 | = | 0.00   |              |                |          |
| Multiple Dependent |    |         | X  |   |      | = | 0.00   |              |                |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2002         | 9        | Claims in excess of 20   |
| 1201         | 84       | 2001         | 42       | Independent claims in excess of 3  |
| 1203         | 280      | 2003         | 140      | Multiple dependent claim, if not paid<br>** Reissue independent claims<br>over original patent |
| 1204         | 80       | 2004         | 42       | ** Reissue claims in excess of 20<br>and over original patent                                  |
| 1205         | 18       | 2005         | 9        |  |

**SUBTOTAL (2) (\$)** 108.00

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252     | 400      | 2252     | 200      | Extension for reply within second month                                    |          |
| 1253     | 920      | 2253     | 460      | Extension for reply within third month                                     |          |
| 1254     | 1,440    | 2254     | 720      | Extension for reply within fourth month                                    |          |
| 1255     | 1,960    | 2255     | 980      | Extension for reply within fifth month                                     |          |
| 1401     | 320      | 2401     | 160      | Notice of Appeal   |          |
| 1402     | 320      | 2402     | 160      | Filing a brief in support of an appeal                                     |          |
| 1403     | 280      | 2403     | 140      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 110      | 2452     | 55       | Petition to revive - unavoidable   |          |
| 1453     | 1,280    | 2453     | 640      | Petition to revive - unintentional   |          |
| 1501     | 1,280    | 2501     | 640      | Utility issue fee (or reissue)   |          |
| 1502     | 460      | 2502     | 230      | Design issue fee   |          |
| 1503     | 620      | 2503     | 310      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  | 180.00   |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809     | 740      | 2809     | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810     | 740      | 2810     | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801     | 740      | 2801     | 370      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 180.00

## SUBMITTED BY

Complete (if applicable)

|                   |                 |                                      |        |           |              |
|-------------------|-----------------|--------------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Alan A. Limbach | Registration No.<br>(Attorney/Agent) | 39,749 | Telephone | 650-833-2433 |
| Signature         |                 |                                      |        | Date      | 12/27/2002   |